

Module 3: Digital Modulation Techniques

When digital data is transmitted over bandpass channel, it is necessary to modulate the incoming data onto a carrier wave with fixed frequency imposed by the channel. This modulation process involves switching/keying the amplitude, frequency & phase of the carrier in accordance with the incoming data.

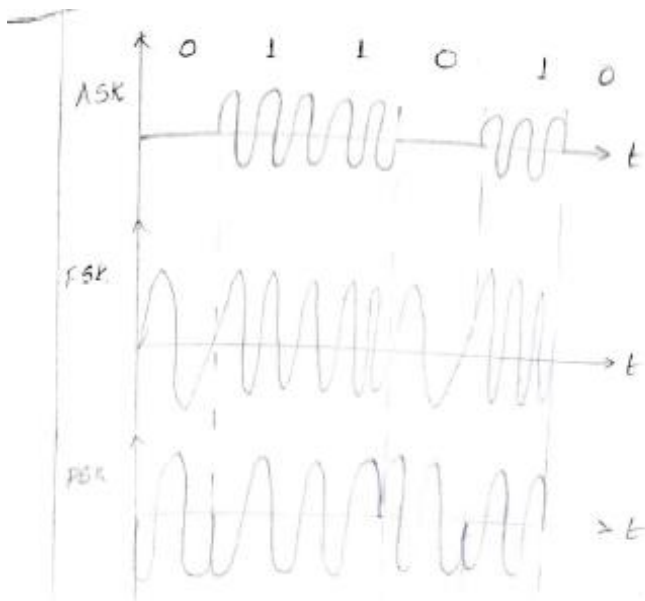
The basic modulation technique for the transmission of digital data are:

- * Amplitude shift keying [ASK]
- * Frequency shift keying [FSK]
- * Phase shift keying [PSK]

These are the special cases of AM, FM & PM.

* Digital modulation formats :-

- The process of varying the characteristics of a carrier in accordance with a modulating wave is called modulation.
- The modulating wave used in digital communication consist of binary data & the carrier is sinusoidal wave.
- The feature used by modulator to discriminate one signal from another is a step change in amplitude, frequency (or) phase, thus the



- FSK & PSK are widely used than ASK.
- Sometimes there can be hybrid modulation which is nothing but change in both amplitude & phase, such combination is called "amplitude phase keying." [APK]
- At receiver end, the demodulation can be coherent or noncoherent detection
- In coherent detection, the receiver should also have the carrier waves phase reference that is provided at transmitter.
- Coherent detection is performed as follows,
 - i. cross correlation of received signal with carrier.
 - ii. Decision making based on threshold value.
- In noncoherent detection, the receiver does not require the information w.r.t carrier wave phase, thereby receiver complexity is reduced but error is introduced.
- The choice of different modulation scheme are based on the following requirements,

- * Minimum probability of symbol error.
- * Minimum transmission power
- * Minimum channel BW.
- * Maximum resistance to interfering signals.
- * Minimum circuit complexity.

* Coherent binary modulation techniques:-

- The 3 basic forms of binary modulation techniques are:
 - * Amplitude shift Keying
 - * Frequency shift Keying
 - * phase shift Keying.
- The noise analysis of coherent detection of ASK, PSK & FSK is briefly explained by assuming additive white gaussian noise model [AWGN]
- Signal constellation is a set of possible message points
- Constellation diagram represents a signal as a 2D scattered diagram on a complex plane at the sampling instants. It helps us to recognize the type of interference in a signal

* Phase shift keying techniques using coherent detection:-

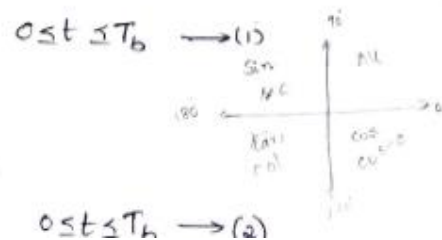
1. Binary phase shift keying:-

In a binary PSK, the pair of signals $s_1(t)$ & $s_2(t)$ used to represent binary symbols '1' & '0' respectively is used as,

$$s_1(t) = \sqrt{\frac{2E_b}{T_b}} \cos 2\pi f_c t$$

$$s_2(t) = \sqrt{\frac{2E_b}{T_b}} \cos(2\pi f_c t + \pi)$$

$$s_2(t) = -\sqrt{\frac{2E_b}{T_b}} \cos 2\pi f_c t$$



Where, $T_b \rightarrow$ Symbol interval

$E_b \rightarrow$ Transmitted signal energy per bit.

\rightarrow carrier frequency is chosen equal to $\frac{nc}{T_b}$; where, $n_c \rightarrow$ any integer

\rightarrow from eqn (1) & (2), $s_1(t)$ & $s_2(t)$ are out of phase by 180° , is referred as "antipodal signal"

\rightarrow the only basis function $\phi_1(t)$ of unit energy is,

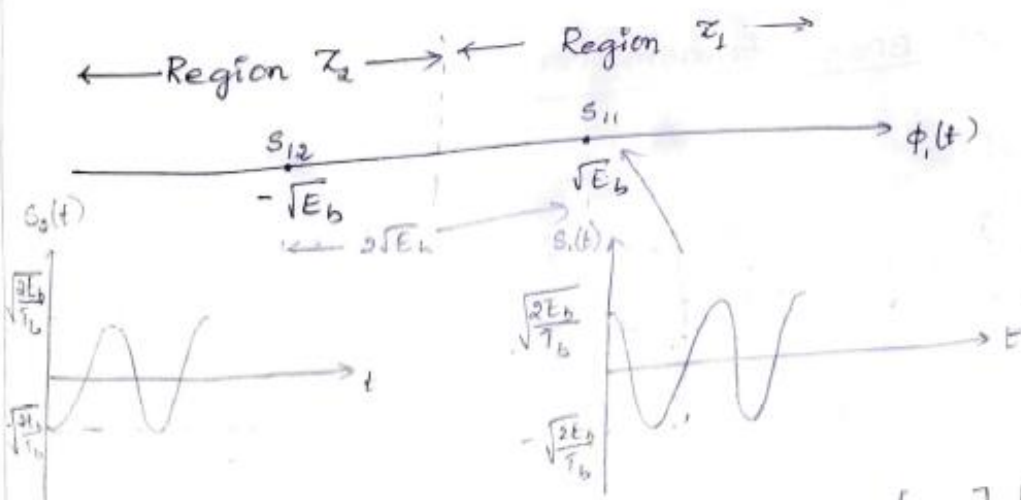
$$\phi_1(t) = \sqrt{\frac{2}{T_b}} \cos 2\pi n_c t \quad 0 \leq t \leq T_b \rightarrow (3)$$

\rightarrow Expressing $s_1(t)$ & $s_2(t)$ in terms of $\phi_1(t)$,

$$s_1(t) = \sqrt{E_b} \phi_1(t) \quad 0 \leq t \leq T_b \rightarrow (4)$$

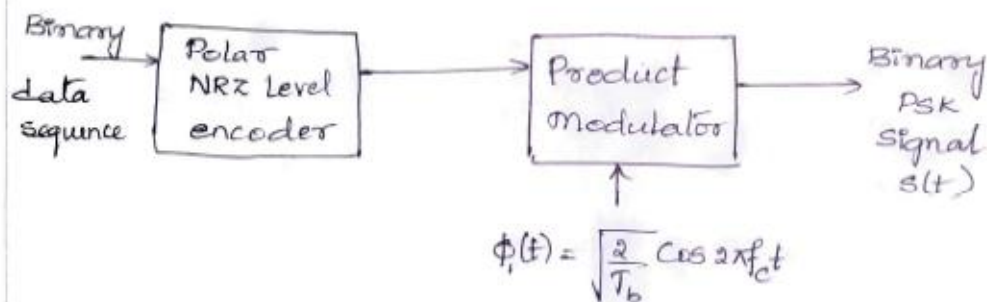
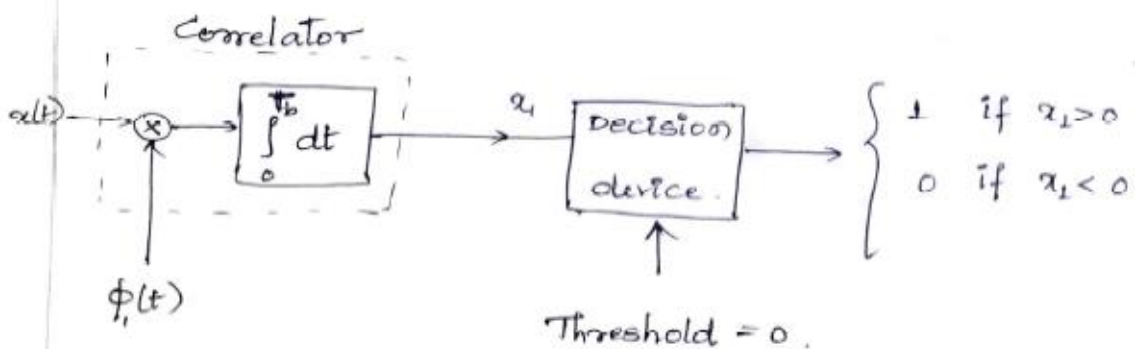
$$s_2(t) = -\sqrt{E_b} \phi_1(t) \quad 0 \leq t \leq T_b \rightarrow (5)$$

\therefore Coherent BPSK is characterized by one dimension with two message points. The message point corresponding to $s_1(t)$ is at $+\sqrt{E_b}$ & $s_2(t)$ is at $-\sqrt{E_b}$. The signal Constellation diagram is shown in fig below.



Signal Space diagram & waveforms [$n_c=2$] for BPSK

- Assuming symbol '1' & '0' occurs with equal probability if set of points reside closer to 's₁' then it corresponds to symbol '1' transmission & if set of points are closer to 's₂' then it corresponds to symbol '0' transmission.
- The distance b/w the two message points is $2\sqrt{E_b}$
- Error occurs when signal 's₁' is transmitted, but due to noise received signal falls in region \mathcal{X}_2 & when 's₂' is transmitted but if the received signal falls in region \mathcal{X}_1
- * Functional schematic of PSK generation:-

(a) BPSK transmitter.(b) BPSK receiver

- The i/p binary sequence is polar NRZ format. Symbol '1' is represented by rectangular pulse of constant amplitude $+\sqrt{E_b}$ & symbol '0' by $-\sqrt{E_b}$.
- second i/p. to product modulator is $\phi_c(t)$ & o/p of product modulator is binary PSK signal $s(t)$.
- Since the information resides in the phase of carrier, phase reference must be present at receiver end. Hence this detection process is called coherent detection.

→ At the Rx^r end, $x(t)$ is the received signal which includes AWGN. $\phi_c(t)$ is synchronized w.r.t. phase & frequency of carrier at Tx^r.

→ The two basic components of PSK Rx^r are,

1. Correlator :- which correlates $x(t)$ with $\phi_c(t)$ on a bit-by-bit basis.

2. Decision device :- It compares the correlator o/p with the zero threshold.

- * If $x_1 > 0$, then decision is in favour of symbol '1'
- * If $x_1 < 0$, then decision is in favour of symbol '0'
- * If $x_1 = 0$, then decision is arbitrary.

→ Probability of error calculation :-

Let $x(t)$ be received signal,

$$\therefore x(t) = s(t) + w(t) \quad \text{where, } w(t) \rightarrow \text{AWGN.} \\ 0 \leq t \leq T_b$$

Assuming symbol '0' (or) s_2 is transmitted, then the T_b

$$\therefore x_1(t) = \int_0^{T_b} [s_2(t) + w(t)] \phi_1(t) dt = \int_0^{T_b} s_2(t) \phi_1(t) dt + \int_0^{T_b} w(t) \phi_1(t) dt$$

$$x_1 = s_{21} + w_1 \rightarrow (1)$$

But $s_{21} = -\sqrt{E_b}$

$$\therefore x_1 = -\sqrt{E_b} + w_1 \rightarrow (2)$$

Where, $w_1 \rightarrow$ sample value of random variable w_i
with mean = 0 & Variance, $\sigma^2 = \frac{N_0}{2}$

$x_1 \Rightarrow$ sample value of gaussian random variable ' x_i '.

$$\therefore E[x_1] = E[-\sqrt{E_b} + w_1] = -\sqrt{E_b} + E[w_1]$$

$$\mu = -\sqrt{E_b} + 0$$

$$\mu = -\sqrt{E_b} \rightarrow (3)$$

Variance of x_1 is, $\text{Var}[x_1] = \text{Var}[-\sqrt{E_b}] + \text{Var}[w_1]$

w.k.t. Variance of constant is zero.

$$\therefore \text{Var}[x_1] = 0 + \frac{N_0}{2} = \frac{N_0}{2} \rightarrow (4)$$

The conditional probability density function of random variable ' x_i ' given that symbol '0' is

$$\therefore f_{x_1}(x_1|0) = \frac{1}{\sqrt{\pi N_0}} e^{-\frac{(x_1 + \sqrt{E_b})^2}{N_0}} \longrightarrow (5)$$

\therefore Probability of error of '0' is $P_e(0)$ denotes the decision in favour of symbol '1' when '0' is transmitted.

$$P_e(0) = P \left[x_1 > 0 \mid \text{symbol '0' is transmitted.} \right]$$

Region $Z_1: 0 \leq x_1, \infty + \infty$

$$\therefore P_e(0) = \int_0^{\infty} f_{x_1}(x_1|0) dx_1$$

$$\hookrightarrow = \frac{1}{\sqrt{\pi N_0}} \int_0^{\infty} e^{-\frac{(x_1 + \sqrt{E_b})^2}{N_0}} dx_1 \longrightarrow (6)$$

$$\text{Let } \frac{x_1 + \sqrt{E_b}}{\sqrt{N_0}} = z$$

$$\text{When } x_1 = 0 \\ z = \frac{\sqrt{E_b}}{\sqrt{N_0}}$$

$$\therefore \frac{dx_1}{\sqrt{N_0}} = dz$$

$$\text{When } x_1 = \infty, z = \infty$$

$$dx_1 = \sqrt{N_0} \cdot dz$$

$$\therefore P_e(0) = \frac{1}{\sqrt{\pi N_0}} \int_{\frac{\sqrt{E_b}}{\sqrt{N_0}}}^{\infty} e^{-z^2} \cdot \sqrt{N_0} dz = \frac{1}{\sqrt{\pi}} \int_{\frac{\sqrt{E_b}}{\sqrt{N_0}}}^{\infty} e^{-z^2} dz$$

\therefore Integral eqn. can be expressed in terms of Complementary error function $\{\text{erfc}\}$ then.

$$\boxed{P_e(0) = \frac{1}{2} \text{erfc} \sqrt{\frac{E_b}{N_0}}} \longrightarrow (7)$$

Symbol 1:-

$$x_1 = \int_0^{T_b} [s_1(t) + w(t)] \phi_1(t) dt = \int_0^{T_b} s_1(t) \phi_1(t) dt + \int_0^{T_b} w(t) \phi_1(t) dt$$

$$\hookrightarrow = s_{11} + w,$$

$$E[x] = E[s_{11}] + E[w] = \sqrt{E_b} + 0 = \sqrt{E_b}$$

$$\text{Var}[x] = \text{Var}[s_{11}] + \text{Var}[w] = 0 + \frac{N_0}{2} = \frac{N_0}{2}$$

\(\therefore\) Conditional PDF is.

$$f_{x_1}(x_1|1) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(x_1 - \mu)^2}{2\sigma^2}}$$

$$\hookrightarrow = \frac{1}{\sqrt{\pi N_0}} e^{-\frac{(x_1 - \sqrt{E_b})^2}{N_0}}$$

\(\therefore\) Probability of error, $P_e(1) = \int_{-\infty}^0 f_{x_1}(x_1|1) dx_1$

$$P_e(1) = \int_{-\infty}^0 \frac{1}{\sqrt{\pi N_0}} e^{-\frac{(x_1 - \sqrt{E_b})^2}{N_0}} dx_1$$

$$\hookrightarrow = \frac{1}{\sqrt{\pi N_0}} \int_{-\infty}^0 e^{-\left[\frac{x_1 - \sqrt{E_b}}{\sqrt{N_0}}\right]^2} dx_1$$

$$\therefore \text{let } \frac{x_1 - \sqrt{E_b}}{\sqrt{N_0}} = z \quad \left\{ \begin{array}{l} \text{When } x_1 = 0, \quad z = -\sqrt{\frac{E_b}{N_0}} \\ x_1 = -\infty, \quad z = -\infty \end{array} \right.$$

$$dx_1 = \sqrt{N_0} dz$$

$$\therefore P_e(i) = \frac{1}{\sqrt{\pi}} \int_{\sqrt{\frac{E_b}{N_0}}}^{\infty} e^{-z^2} dz$$

$$\therefore \boxed{P_e(i) = \frac{1}{2} \operatorname{erfc} \sqrt{\frac{E_b}{N_0}}} \rightarrow (8)$$

From (7) & (8),

$$P_e(0) = P_e(1) = \frac{1}{2} \operatorname{erfc} \sqrt{\frac{E_b}{N_0}}$$

If symbol '0' & '1' occurs with equal probability. i.e. $P(0) = P(1) = \frac{1}{2}$, then the average probability of symbol error is,

$$P_e = \frac{1}{2} [P_e(0) + P_e(1)] \quad P_e = P(0)P_e(0) + P(1)P_e(1)$$

$$\boxed{P_e = \frac{1}{2} \operatorname{erfc} \sqrt{\frac{E_b}{N_0}}}$$

\therefore For a given channel, as signal energy $\sqrt{E_b}$ increases, avg. probability of error ' P_e ' decreases.

* Frequency shift keying techniques using coherent detection:-

* Binary frequency shift keying (BFSK):- RFID stds, wireless LAN

\rightarrow In BFSK, let $s_1(t)$ & $s_2(t)$ be the signal which represents symbol '1' & '0' respectively. These two signals are sinusoidal having two distinct frequencies.

$$\text{Symbol 1: } s_1(t) = \sqrt{\frac{2E_b}{T_b}} \cos 2\pi f_1 t \quad 0 \leq t \leq T_b \rightarrow (1)$$

$$\text{Symbol 0: } s_2(t) = \sqrt{\frac{2E_b}{T_b}} \cos 2\pi f_2 t \quad 0 \leq t \leq T_b \rightarrow (2)$$

$$f_1 = \frac{n_1}{T_b} \quad \& \quad f_2 = \frac{n_2}{T_b} \quad : \text{ where, } n_1, n_2 \rightarrow \text{ any integer}$$

→ ∴ The orthogonal basis function of unit energy

is.

$$\phi_1(t) = \begin{cases} \sqrt{2/T_b} \cos 2\pi f_1 t & 0 \leq t \leq T_b \\ 0 & \text{elsewhere} \end{cases}$$

$$\phi_2(t) = \begin{cases} \sqrt{2/T_b} \cos 2\pi f_2 t & 0 \leq t \leq T_b \\ 0 & \text{elsewhere} \end{cases}$$

Expressing (1) & (2) in terms of $\phi_1(t)$ & $\phi_2(t)$,

$$s_1(t) = \sqrt{E_b} \phi_1(t) \rightarrow (3)$$

$$s_2(t) = \sqrt{E_b} \phi_2(t) \rightarrow (4)$$

∴ From this eqns it is clear that the signal space is of two dimension. ∴ two msg. points are present & are represented by signal vectors s_1 & s_2 .

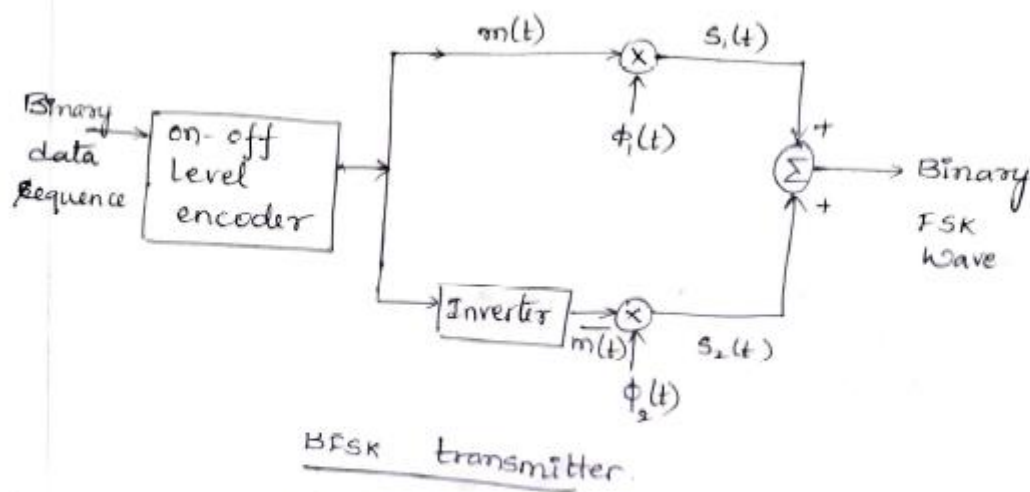
the co-efficients of $s_1(t)$ are s_{11} & s_{12} .

$$\therefore s_{11} = \int_0^{T_b} s_1 \phi_1(t) dt = \sqrt{E_b} \rightarrow (5)$$

$$s_{12} = \int_0^{T_b} s_1 \phi_2(t) dt = 0 \rightarrow (6)$$

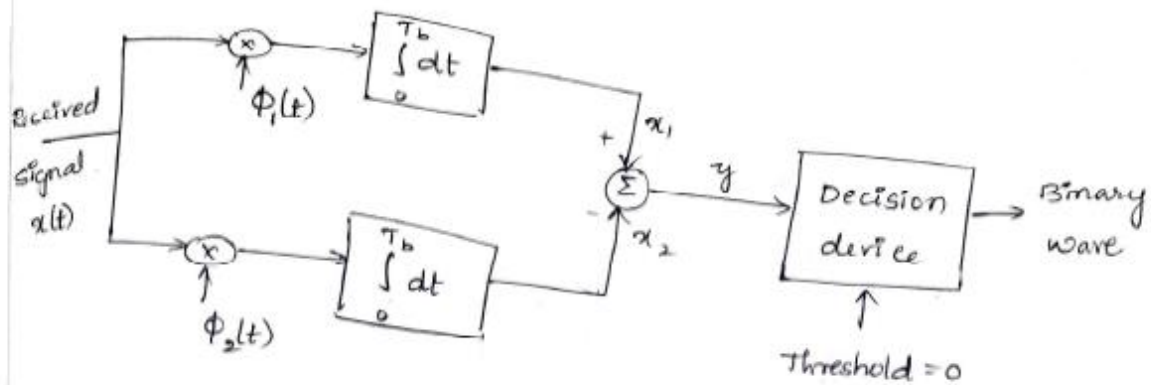
s_{12} is zero ∴ $s_1(t)$ & $\phi_2(t)$ are orthogonal.

* Generation:-



- Binary data is divided into two signals, the first signal is multiplied with $\phi_1(t)$ & the resultant is,
- $$s_1(t) = m(t) \phi_1(t) \rightarrow (1)$$
- \therefore the second signal is inverted & multiplied with $\phi_2(t)$ & the resultant is,
- $$s_2(t) = \overline{m(t)} \phi_2(t) \rightarrow (2)$$
- By using inverter in lower path, when symbol is '1' the oscillating freq. f_1 is switched 'ON' & f_2 is 'OFF'. Thus the o/p of summer is a sine wave of frequency f_1 .
- \therefore when symbol is '0', f_2 is ON & f_1 is OFF, thus the o/p of summer is a sine wave of frequency f_2 .

* Coherent BFSK Receiver:-



→ $x(t)$ is the received signal which is cross correlated with $\phi_1(t)$ & $\phi_2(t)$ to obtain x_1 & x_2 . The difference of x_1 & x_2 is 'y' which is fed into decision device.

→ If $y > 0 \rightarrow$ symbol '1'

If $y < 0 \rightarrow$ Symbol '0'

* Probability of error calculation:-

→ If ' $x(t)$ ' is received signal, then

$$x(t) = \begin{cases} s_1(t) + w(t) & \text{for symbol '1'} \\ s_2(t) + w(t) & \text{for symbol '0'} \end{cases} \rightarrow (1)$$

Symbol '0':

i) o/p of upper path is, $x_1(t) = \int_0^{T_b} x(t) \phi_1(t) dt$

$$x_1(t) = \int_0^{T_b} [s_2(t) + w(t)] \phi_1(t) dt = s_{21} + w_1$$

But $s_{21} = 0$

Mean of x_1 , $E[x_1] = E[w] = 0$

Variance, $\text{Var}[x_1] = \text{Var}[w] = \frac{N_0}{2}$

i) P/P of lower path is, $x_2 = \int_0^{T_b} x(t) \phi_2(t) dt$

$$x_2 = \int_0^{T_b} [s_2(t) + w(t)] \phi_2(t) dt = s_{22} + w_2$$

$$x_2 = \sqrt{E_b} + w_2 \rightarrow (13)$$

Mean, $E[x_2] = E[\sqrt{E_b}] + E[w_2] = 0 + \sqrt{E_b} = \sqrt{E_b}$

Variance, $\text{Var}[x_2] = 0 + N_0/2 = \frac{N_0}{2}$

conditional

\therefore Mean of y , $E[y] = E[x_1] - E[x_2] = 0 - \sqrt{E_b}$

$$\hookrightarrow = -\sqrt{E_b} \rightarrow$$

$\therefore \text{Var}[y] = \text{Var}[x_1] + \text{Var}[x_2]$ \therefore Variance of random variable 'y' is sum of variance of random variables 'x₁' & 'x₂'

$$= \frac{N_0}{2} + \frac{N_0}{2}$$

$$\hookrightarrow = N_0$$

\rightarrow Conditional PDF when '0' is transmitted,

$$f_y(y|0) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(y-\mu)^2}{2\sigma^2}}$$

$$\hookrightarrow = \frac{1}{\sqrt{2\pi N_0}} e^{-\frac{(y+\sqrt{E_b})^2}{2N_0}}$$

If the rx^t makes wrong decision, \therefore the probability

$$P_e(0) = \int_0^{\infty} f_y(y|0) dy = \int_0^{\infty} \frac{1}{\sqrt{2\pi N_0}} e^{-\left[\frac{y+\sqrt{E_b}}{\sqrt{2N_0}}\right]^2} dy \rightarrow (14)$$

$$\text{Let } \frac{y+\sqrt{E_b}}{\sqrt{2N_0}} = z$$

$$\therefore dy = \sqrt{2N_0} dz$$

\updownarrow
 When $y=0$, $z = \sqrt{\frac{E_b}{2N_0}}$
 $y=\infty$, $z=\infty$

$$\therefore P_e(0) = \int_{\sqrt{\frac{E_b}{2N_0}}}^{\infty} \frac{1}{\sqrt{2\pi N_0}} e^{-z^2} \sqrt{2N_0} dz = \frac{1}{\sqrt{\pi}} \int_{\sqrt{\frac{E_b}{2N_0}}}^{\infty} e^{-z^2} dz$$

$$\therefore P_e(0) = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right) \rightarrow (15)$$

$$\text{If } P_e(1) = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right) \rightarrow (16)$$

If symbols '1' & '0' are of equal probability then
 $P(0) = P(1) = 1/2$, \therefore the avg. probability of error,

$$P_e = P(0) P_e(0) + P(1) P_e(1)$$

$$= \frac{1}{2} \left[\frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right) + \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right) \right]$$

$$\therefore \boxed{P_e = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right)}$$

Distance b/w two msg. points in FSK is $\sqrt{2E_b}$ & in PSK is $2\sqrt{E_b}$
 Larger the distance, smaller the average probability

Q1 A binary data is transmitted at a rate of 10^6 bits/sec over a microwave binary link, assuming channel noise is AWGN with '0' mean & PSD at receiver end is 10^{-10} W/Hz. Find avg power required to maintain an avg. probability of error $\leq 10^{-4}$ for a coherent BPSK & determine min BW required.

→ soln: Bit rate, $R_b = 10^6$ bits/sec, $P_e \leq 10^{-4}$, $N_0 = 10^{-10}$, $N_0 = 2 \times 10^{-10}$.

$$\therefore \text{For BPSK, } P_e = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{N_0}}\right)$$

$$2P_e = \operatorname{erfc}\left(\sqrt{\frac{E_b}{N_0}}\right); 2 \times 10^{-4} = \operatorname{erfc}\left[\sqrt{\frac{E_b}{4 \times 10^{-10}}}\right] \rightarrow (1)$$

But, $\operatorname{erfc}(u) = 1 - \operatorname{erf}(u) \rightarrow (2)$

from (1) & (2), $2 \times 10^{-4} = 1 - \operatorname{erf}\left[\sqrt{\frac{E_b}{4 \times 10^{-10}}}\right]$

$$\therefore \operatorname{erf}\left[\sqrt{\frac{E_b}{4 \times 10^{-10}}}\right] = 1 - 2 \times 10^{-4} = 0.9998; \sqrt{\frac{E_b}{4 \times 10^{-10}}} = \operatorname{erf}^{-1}(0.9998)$$

$$\sqrt{\frac{E_b}{4 \times 10^{-10}}} = 2.7$$

$$\frac{E_b}{4 \times 10^{-10}} = 7.29; \Rightarrow \boxed{E_b = 2.916 \times 10^{-9} \text{ J}}$$

$$\therefore E_b = P T_b$$

But $T_b = \frac{1}{R_b} = \frac{1}{10^6} = 10^{-6} \text{ s}$

$$\therefore \boxed{P = \frac{E_b}{T_b} = 2.916 \times 10^{-3} \text{ W}}$$

82. An FSK s/m has a binary data at a rate of 10^6 bits/sec assuming a channel noise is AWGN with '0' mean & PSD = 2×10^{-20} W/Hz. Determine the avg probability of error. Assume coherent detection & amplitude of received sinusoidal signal for both '1' & '0' is 1.2 μ V

$$\rightarrow \frac{N_0}{2} = 2 \times 10^{-20}, R_b = 10^6, A_m = 1.2 \times 10^{-6}$$

$$\therefore E = \rho T_b = P/R_b$$

$$\text{But, } P = \frac{A_m^2}{2} = \frac{(1.2 \times 10^{-6})^2}{2} = 7.2 \times 10^{-13} \text{ W}$$

$$E_b = \frac{7.2 \times 10^{-13}}{10^6} = 7.2 \times 10^{-19} \text{ J}$$

$$P_e = \frac{1}{2} \operatorname{erfc} \sqrt{\frac{E_b}{2N_0}} = \frac{1}{2} \operatorname{erfc} \sqrt{\frac{7.2 \times 10^{-19}}{2 \times 2 \times 10^{-20}}} = \frac{1}{2} \operatorname{erfc}(3)$$

$$= \frac{1}{2} [1 - \operatorname{erf}(3)] = \frac{1}{2} [1 - 0.9998]$$

$$= \frac{1}{2} \times 2 \times 10^{-4}$$

$$\rightarrow = 10^{-4}$$

Quadrature phase-shift keying.

As with binary PSK, information about the message symbols in QPSK is contained in the carrier phase.

* In particular carrier takes on one of four equally spaced values such as $\pi/4$, $3\pi/4$, $5\pi/4$ and $7\pi/4$. For this set of values, we may define the transmitted signal as,

$$s_i(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}} \cos \left[2\pi f_c t + (2i-1) \frac{\pi}{4} \right], & \begin{cases} 0 \leq t \leq T_b \\ i=1,2,3,4. \end{cases} \\ 0, & \text{elsewhere.} \end{cases}$$

where, E_b - is the transmitted signal energy per symbol and T_b - is the symbol duration.

- The carrier frequency $f_c = \frac{n_c}{T_b}$; n_c - fixed integer.

* Each possible value of phase corresponds to a unique dibit (i.e. pair of bits).

Thus, for example, we may choose Gray encoded set of dibits, 10, 00, 01, and 11, where only a single bit is changed from one dibit to the next.

(1) Goals of Digital comm sys. are:

- ① Low probability of error
- ② Efficient utilisation of channel Bandwidth.

'QPSK' is a bandwidth conserving scheme.

Signal space diagram of QPSK signal. (constellation diagram) (2)

For QPSK, the transmitted signal can be given as,

$$S_i(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}} \cos \left[2\pi f_c t + (2i-1) \frac{\pi}{4} \right] & \begin{cases} 0 \leq t \leq T_b \\ i=1,2,3,4. \end{cases} \\ 0, & \text{--- ① elsewhere.} \end{cases}$$

using trigonometric identity,

$$\cos(A+B) = \cos A \cos B - \sin A \sin B. \text{ --- ② we can write}$$

eqn ① as,

$$S_i(t) = \sqrt{\frac{2E_b}{T_b}} \left[\underbrace{\cos(2\pi f_c t)}_{L(3)} \cos(2i-1) \frac{\pi}{4} - \sin(2\pi f_c t) \sin(2i-1) \frac{\pi}{4} \right]$$

where $i=1,2,3,4$. Based on this representation, we make two observations:

1. There are two orthonormal basis functions, defined by a pair of quadrature carriers:

$$\phi_1(t) = \sqrt{\frac{2}{T_b}} \cos(2\pi f_c t), \quad 0 \leq t \leq T_b. \text{ --- ④}$$

$$\phi_2(t) = \sqrt{\frac{2}{T_b}} \sin(2\pi f_c t), \quad 0 \leq t \leq T_b. \text{ --- ⑤}$$

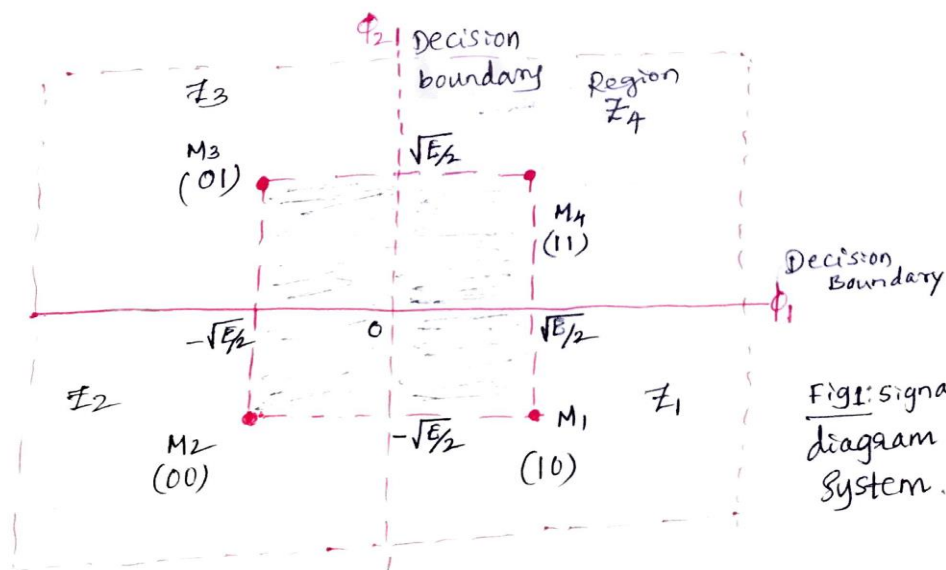


Fig1: signal-space diagram of QPSK system.

2. There are four message points, defined by the two ③ dimensional signal vector.

$$s_i = \begin{bmatrix} \sqrt{E_b} \cos[(2i-1)\pi/4] \\ \sqrt{E_b} \sin[(2i-1)\pi/4] \end{bmatrix}, \quad i = 1, 2, 3, 4.$$

The values of signal vectors s_1 and s_2 are summarized in below table 1.

Accordingly, a QPSK signal has a two dimensional signal constellation (i.e, $N=2$) and four message points (i.e, $M=4$), whose phase angles increase in counter clockwise direction, as illustrated in fig 1.

Table 1: Signal space characterization of QPSK.

Gray-encoded input dibit	Phase of QPSK signal (radians)	Coordinates of message points	
		s_{i1}	s_{i2}
11	$\pi/4 = 45^\circ$	$+\sqrt{E_b}/2$	$+\sqrt{E_b}/2$
01	$3\pi/4 = 135^\circ$	$-\sqrt{E_b}/2$	$+\sqrt{E_b}/2$
00	$5\pi/4 = 225^\circ$	$-\sqrt{E_b}/2$	$-\sqrt{E_b}/2$
10	$7\pi/4 = 315^\circ$	$+\sqrt{E_b}/2$	$-\sqrt{E_b}/2$

4: Generation and coherent Detection of QPSK Signals. (4)

A block diagram of QPSK transmitter/generator is shown in fig 2a.

* A distinguishing feature of the QPSK transmitter is the block labeled demultiplexer. The function of the demultiplexer is to divide the binary wave produced by the polar NRZ-level encoder into two separate binary waves.

* one binary wave represents odd numbered bits and other represents even numbered bits. Accordingly, we can make the following

statement.

* The QPSK transmitter may be viewed as two binary PSK generators that work in parallel, each at a bit rate equal to one-half the bit rate of the original binary sequence at the QPSK transmitter input.

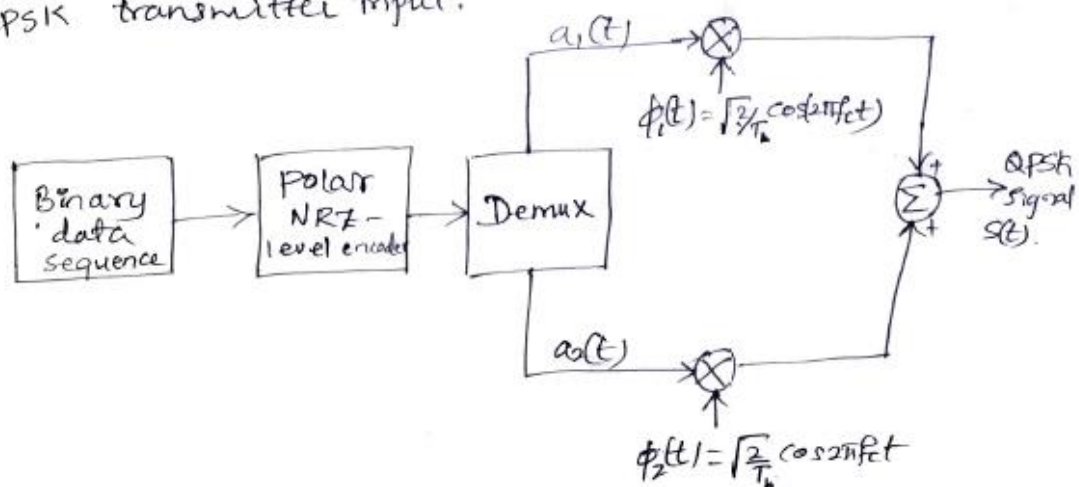


fig 2a: QPSK transmitter.

Fig 2(b) shows the QPSK receiver.

* It can be observed that QPSK receiver is structured in the form of an in-phase path and quadrature path working in parallel.

* The functional composition of the QPSK receiver is as follows:

(1) pair of correlators, which have common input $x(t)$. The two correlators are supplied with a pair of locally generated orthonormal basis functions $\phi_1(t)$ and $\phi_2(t)$, which means that the receiver is synchronized with the transmitter. The correlator outputs are x_1 and x_2 .

(2) Pair of decision devices, which act on the correlator outputs x_1 and x_2 by comparing each one with a zero threshold. For inphase channel,

If, $x_1 > 0$ - decision is symbol '1'

else if $x_1 < 0$ decision is symbol '0'.

Similar binary decisions are made for the quadrature channel. Finally,

(3) Multiplexer - combines the two binary sequences produced by the pair of decision devices. The resulting binary sequence is the estimate of the original binary sequence transmitted.

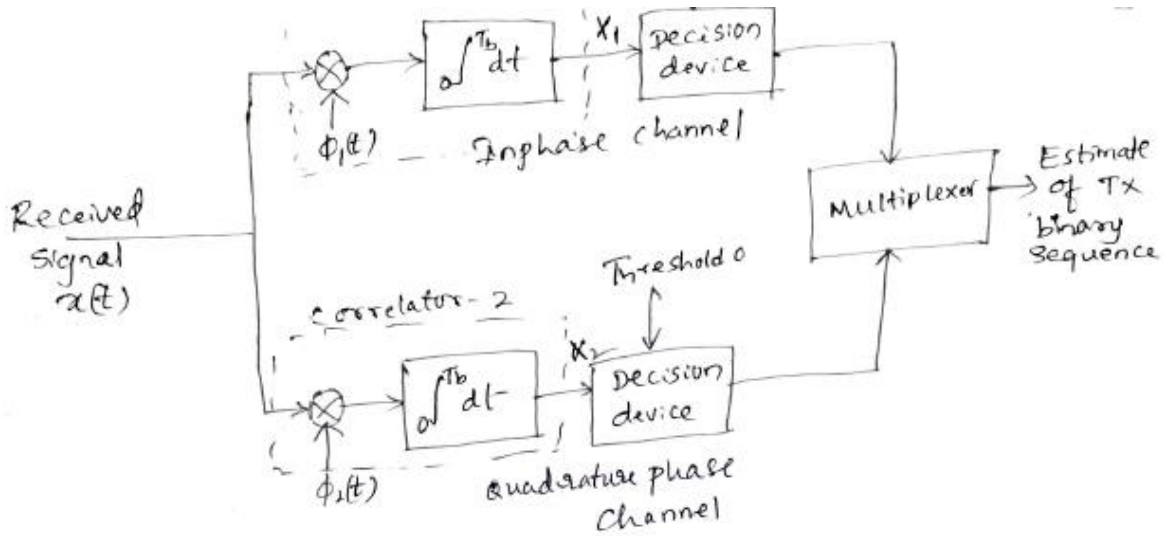


Fig 2(b): Coherent QPSK receiver.

* Error Probability of QPSK

In a QPSK system operating on an AWGN channel the received signal $x(t)$ is defined by

$$x(t) = s_i(t) + w(t) \quad \begin{cases} 0 \leq t \leq T_b \\ i = 1, 2, 3, 4 \end{cases} \quad \text{--- (1)}$$

where $w(t)$ is the sample function of a white Gaussian noise process of zero mean and power spectral density of $N_0/2$.

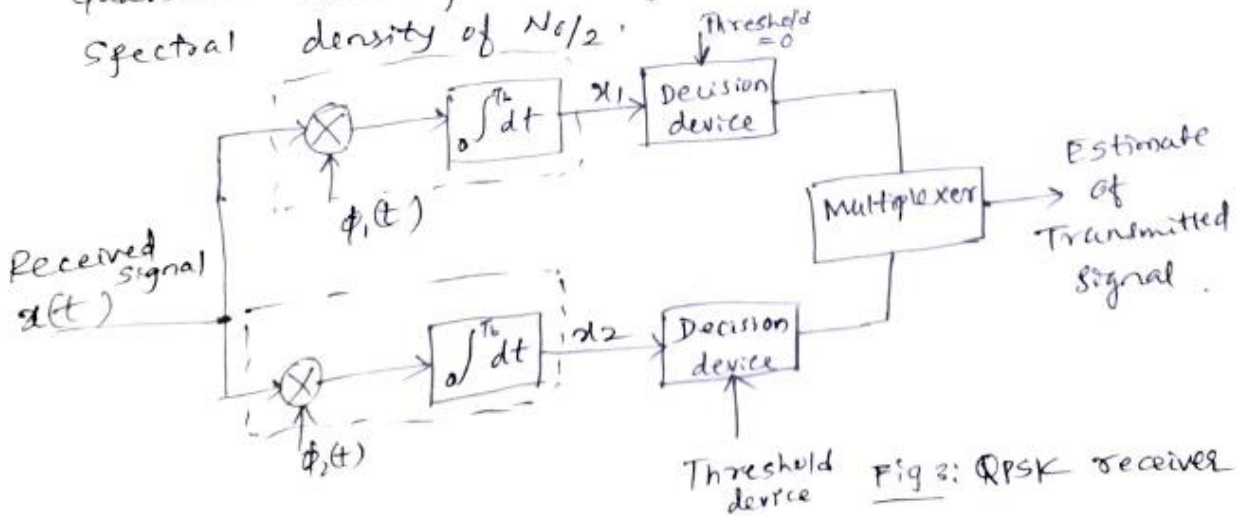


Fig 3: QPSK receiver

Referring to fig ③, the two correlator outputs x_1 and x_2 are respectively defined as follows:

$$x_1 = \int_0^{T_b} x(t) \phi_1(t) dt$$

$$x_1 = \sqrt{E_b} \cos \left[(2i-1) \frac{\pi}{4} \right] + W_1 \quad \text{--- ②}$$

$$x_1 = \pm \sqrt{\frac{E_b}{2}} + W_1 \quad \text{--- ③} \quad \left[\because \text{using } i=1,2,3,4 \text{ in eq 2 ② we get } \pm \sqrt{E_b/2} \right]$$

and

$$x_2 = \int_0^{T_b} x(t) \phi_2(t) dt$$

$$= \sqrt{E_b} \sin \left[(2i-1) \frac{\pi}{4} \right] + W_2 \quad \text{where, } i=1,2,3,4.$$

$$x_2 = \mp \sqrt{\frac{E_b}{2}} + W_2 \quad \text{--- ④}$$

The decision rule is now simply to say that $s_1(t)$ was transmitted if the received signal point associated with the observation vector 'x' falls inside region Z_1 ; say that $s_2(t)$ is transmitted if the observation vector falls inside region Z_2 and so on for other two regions Z_3 & Z_4 .

* To calculate the average probability of symbol error, we recall that, the inphase channel output x_1 and the quadrature phase channel output x_2 may be viewed as the individual outputs of two binary PSK receivers. Thus, according to eq 2 ③ & ④

these PSK receiver are characterized as follows:

- * Signal energy per bit equal to $E_b/2$ and
- * noise spectral density equal to $\frac{N_0}{2}$

Hence, using eq 2 of PSK, for the average probability of bit error of a coherent binary receiver, we may express the average probability of bit error in the inphase and quadrature paths of the coherent QPSK receiver as

$$P^1 = Q\left(\sqrt{\frac{E}{N_0}}\right) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \quad \text{--- (5)}$$

$$\text{where, } Q\left(\sqrt{\frac{E}{N_0}}\right) = \frac{1}{2} \operatorname{erfc}\sqrt{\frac{E}{2N_0}} \quad \& \quad E = 2E_b$$

The average probability of a correct detection resulting from the combined action of two paths working together is,

$$P_c = (1 - P^1)^2 = \left[1 - Q\left(\sqrt{\frac{E}{N_0}}\right)\right]^2 \quad \text{--- (6)}$$

$$P_e = 1 - 2Q\left(\sqrt{\frac{E}{N_0}}\right) + Q^2\left(\sqrt{\frac{E}{N_0}}\right) \quad \text{--- (7)}$$

The average probability of error for QPSK is therefore

$$P_e = 1 - P_c$$

$$P_e = 2Q\left(\sqrt{\frac{E}{N_0}}\right) - Q^2\left(\sqrt{\frac{E}{N_0}}\right) \quad \text{--- (8)}$$

In the region where $(E/N_0) \gg 1$, we may ignore the quadratic term of eq 2 (8) and re-write eq 2 (8) as,

$$P_e \approx 2Q\left(\sqrt{\frac{E}{N_0}}\right) \quad \text{or} \quad \boxed{P_e \approx 2Q\left(\sqrt{\frac{2E_b}{N_0}}\right)} \quad \text{--- (9)}$$

$$\text{in terms of error fn } \boxed{P_e = \frac{1}{2} \operatorname{erfc}\sqrt{\frac{E_b}{N_0}}} \quad \text{--- (10)}$$

Also,

$$\text{Bit error rate, BER} = \left(\frac{M/2}{M-1} \right) P_e.$$

where $M=4$ - symbols for QPSK. i.e. for large
'M' bit error rate is limited to $\frac{1}{2} P_e$
 $\Rightarrow \text{BER} = \frac{1}{2} P_e$

$$\text{BER} = Q \left(\sqrt{\frac{2E_b}{N_0}} \right)$$

Thus, for the same E_b/N_0 and, therefore the same average probability of bit error, a QPSK system transmits information at twice the bit rate of a binary PSK system for the same channel BW.

(10)

M-ary PSK

QPSK is a special case of M-ary the generic form of PSK commonly referred to as M-ary PSK, where

- * In M-ary PSK the phase of the carrier takes on one of M-possible values: $\theta_i = 2(i-1)\frac{\pi}{M}$; $i = 1, 2, \dots, M$.
Accordingly, during each signalling interval of duration T, one of the M possible signals

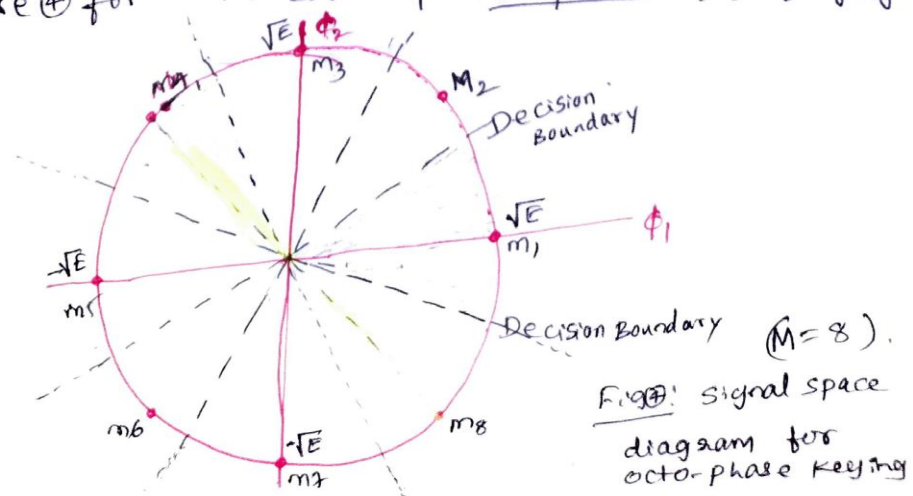
$$S_i(t) = \sqrt{\frac{2E}{T}} \cos \left[2\pi f_c t + \frac{2\pi}{M}(i-1) \right], \quad i = 1, 2, \dots, M \quad \text{--- (1)}$$

is sent, where E - is the signal Energy / symbol
T - symbol interval
 f_c - carrier freq = $\frac{n_c}{T}$; n_c - fixed integer.

- * Each $S_i(t)$ may be expanded in terms of the same two basis functions $\phi_1(t)$ and $\phi_2(t)$; the signal constellation of M-ary PSK is, therefore two dimensional.

- * The M message points are equally spaced on a circle of radius \sqrt{E} and center at the origin, as illustrated in figure (a) for the case of octophase shift keying.

(M=8).



* Suppose that the transmitted signal corresponds to the message point m_1 , suppose that E/N_0 is large enough to consider the nearest two points ' m_2 ' and ' m_3 ' as potential candidates for being mistaken for ' m_1 ' due to channel noise, the euclidean distance for each of these two points from m_1 is (for $M=8$)

$$d_{12} = d_{13} = 2\sqrt{E} \sin \frac{\pi}{M} \quad \text{--- (2)}$$

Hence, using concept of union bound and Q-function the average probability of symbol error for coherent M-ary PSK is

$$P_e \approx 2Q \left[\sqrt{\frac{2E}{N_0}} \sin \left(\frac{\pi}{M} \right) \right] \quad \text{--- (3)}$$

where, $M \geq 4$.

if $M=4$, P_e will be error probability of QPSK

* Channel Bandwidth for M-ary PSK

The Channel Bandwidth required to pass M-ary PSK signals through an analog channel is,

$$B = \frac{2}{T} \quad \text{--- (1)} \quad \text{where } T \text{ is the symbol duration.}$$

But symbol duration ' T ' for M-ary PSK is defined as

$$\text{by } T = T_b \log_2(M) \quad \text{--- (2)} \quad T_b \text{ - bit duration.}$$

also, in terms of bit rate, $R_b = \frac{1}{T_b}$, we can write

eqn (1) as,

$$B = \frac{2}{T_b \log_2 M} = \frac{2R_b}{\log_2 M} \quad \text{bits/sec.}$$

(12)

* Bandwidth Efficiency (ρ)

we know that, for M-ary PSK, channel BW is given by,

$$B = \frac{2R_B}{\log_2 M} \quad \text{--- (1)}$$

using eq (1) BW efficiency is given by

$$\rho = \frac{R_B}{B}$$

$$\Rightarrow \boxed{\rho = \frac{\log_2 M}{2}} \quad \text{bits/sec/Hz}$$

Table shown below indicates BW efficiency of M-ary PSK signals for different M-value

M	2	4	8	16	32	64
ρ Bits/s/Hz	0.5	1	1.5	2	2.5	3

Based on above data we can say that,

"As the number of states of M-ary PSK is increased, the BW efficiency is improved at the expense of error performance."

Also, if we want to improve error probability then E_b/N_0 must be increased to compensate for increase in 'M'.

* M-ary Quadrature Amplitude Modulation (QAM)

The QAM is a hybrid form of modulation, in that the carrier experiences amplitude as well as phase modulations.

* The transmitted M -ary QAM signal for symbol 'k' can be defined in terms of E_0 as, (B)

$$s_k(t) = \sqrt{\frac{2E_0}{T}} a_k \cos(2\pi f_c t) - \sqrt{\frac{2E_0}{T}} b_k \sin(2\pi f_c t) \quad \left. \begin{array}{l} 0 \leq t \leq T \\ k = 0, \pm 1, \pm 2, \dots \end{array} \right\} \text{--- (1)}$$

The signal $s_k(t)$ involves two-phase quadrature carriers, each one of which is modulated by a set of discrete amplitudes; hence the terminology "quadrature amplitude modulation". [E_0 is the energy of message signal with the lowest amplitude]

The two orthogonal basis functions are:

$$\left. \begin{array}{l} \phi_1(t) = \sqrt{\frac{2}{T}} \cos(2\pi f_c t) \\ \phi_2(t) = \sqrt{\frac{2}{T}} \sin(2\pi f_c t) \end{array} \right\} \begin{array}{l} 0 \leq t \leq T \\ 0 \leq t \leq T \end{array} \quad \text{--- (2)}$$

* QAM square constellations.

A QAM square constellation can be factored into the product of the corresponding L -ary PAM constellation with itself.

* In figure (5), we have constructed two signal constellations for 4-ary PAM; one vertically oriented along ϕ_2 -axis in part a of the figure, and the other horizontally oriented along ϕ_1 -axis in part b. These two parts are spatially orthogonal.

* In developing two dimensional structure of M -ary QAM the following points need to be remembered.

- (1) The same binary sequence is used for both 4-ary PAM constellations.

(2) Gray coding rule is used

(14)

(3) we move from one quadrant to the next in a counter clock wise direction.

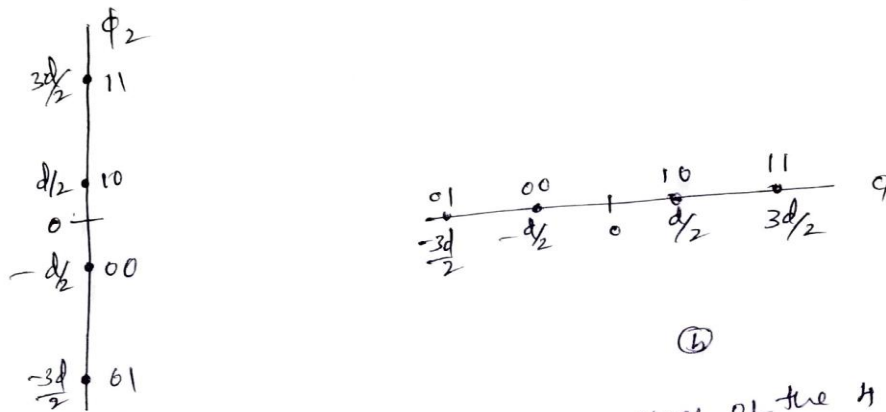


Fig 5: The two orthogonal constellations of the 4-ary PAM.

Step 1: First quadrant constellation
we use the codewords along the positive parts of the ϕ_1 and ϕ_2 -axis.

$$\begin{matrix} \begin{bmatrix} 11 \\ 10 \end{bmatrix} \\ \text{Top to} \\ \text{bottom} \end{matrix} \begin{matrix} \begin{bmatrix} 10 & 11 \end{bmatrix} \\ \text{left to} \\ \text{right} \end{matrix} \rightarrow \begin{bmatrix} 1110 & 1111 \\ 1010 & 1011 \end{bmatrix} \rightarrow \text{first quadrant codes}$$

Step 2: Second quadrant constellation

$$\begin{matrix} \begin{bmatrix} 11 \\ 10 \end{bmatrix} \\ \text{top to} \\ \text{bottom} \end{matrix} \begin{matrix} \begin{bmatrix} 01 & 00 \end{bmatrix} \\ \text{left to} \\ \text{right} \end{matrix} \rightarrow \begin{bmatrix} 1101 & 1100 \\ 1001 & 1000 \end{bmatrix} \\ \text{second quadrant}$$

(15)

Step 3: Third quadrant constellation.

$$\begin{array}{cc} \begin{bmatrix} 00 \\ 01 \end{bmatrix} & \begin{bmatrix} 01 & 00 \end{bmatrix} \end{array} \rightarrow \begin{bmatrix} 0001 & 0000 \\ 0101 & 0100 \end{bmatrix}$$

top to bottom left to right Third quadrant

Step 4: Fourth quadrant constellation.

$$\begin{array}{cc} \begin{bmatrix} 00 \\ 01 \end{bmatrix} & \begin{bmatrix} 10 & 11 \end{bmatrix} \end{array} \rightarrow \begin{bmatrix} 0010 & 0011 \\ 0110 & 0111 \end{bmatrix}$$

top to bottom left to right Fourth quadrant

The final step is to piece together these four constituent 4-ary PAM to construct M-ary QAM ($M=16$) as described in fig 6

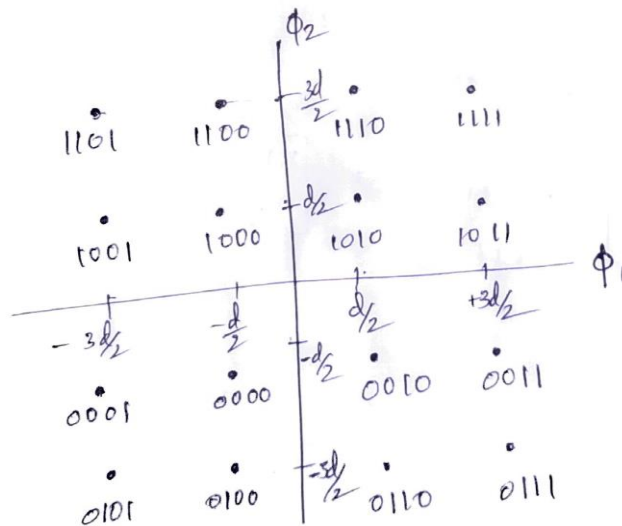
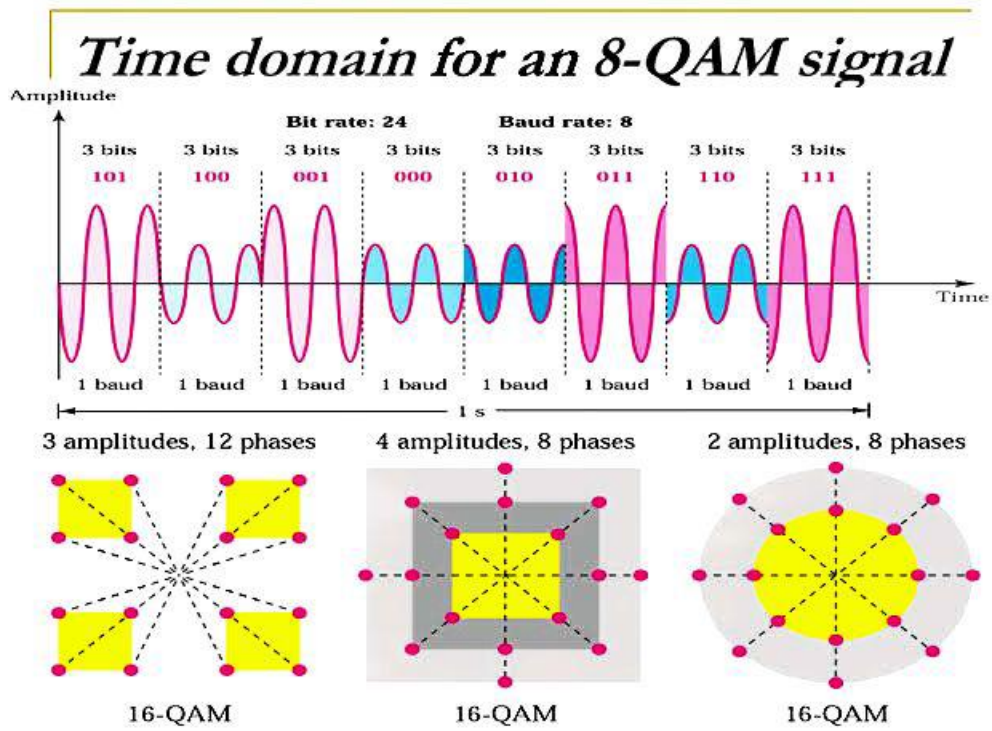


Fig 6: Signal space diagram for M-ary QAM for $M=16$.

Average error probability is, $P_e \approx 4 \left(1 - \frac{1}{\sqrt{M}}\right) Q \left[\sqrt{\frac{3E_{av}}{(M-1)N_0}} \right]$



* Non-coherent binary modulation techniques:-

1. Non-coherent orthogonal modulation:-

→ Consider two orthogonal signals $s_1(t)$ & $s_2(t)$ which have equal energy. During the interval $0 \leq t \leq T$ one of these two signals is sent over an imperfect channel that shifts the carrier phase by unknown amount.



→ Let $q_1(t)$ & $q_2(t)$ be the phase shifted version of $s_1(t)$ & $s_2(t)$ & these two signals $q_1(t)$ & $q_2(t)$ are orthogonal & have equal energy such scheme is called non-coherent orthogonal modulation.

→ The channel adds white gaussian noise $w(t)$ of zero mean &

∴ The received signal $x(t)$ is,

$$x(t) = \begin{cases} q_1(t) + w(t) & 0 \leq t \leq T \\ q_2(t) + w(t) & 0 \leq t \leq T \end{cases} \rightarrow (1)$$

$x(t)$ is used to discriminate b/w $s_1(t)$ & $s_2(t)$

→ Fig. (a) depicts a receiver, it consists of a pair of matched filter with basis function $\phi_1(t)$ & $\phi_2(t)$.

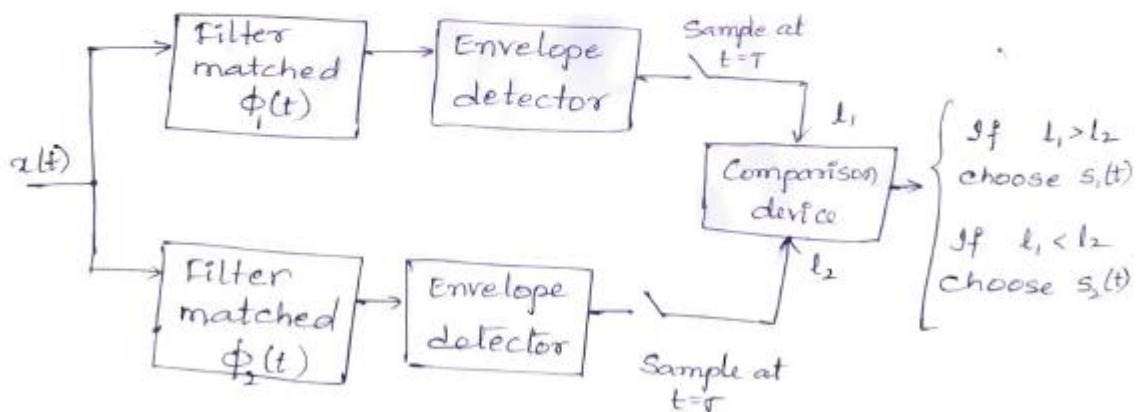


Fig. 1: Generalized binary Rx^r for noncoherent orthogonal modulation

→ The matched filter o/p's are envelope detected, sampled & then compared with each other.

if $I_1 > I_2$, decision is in favor of $s_1(t)$

if $I_1 < I_2$, decision is in favor of $s_2(t)$

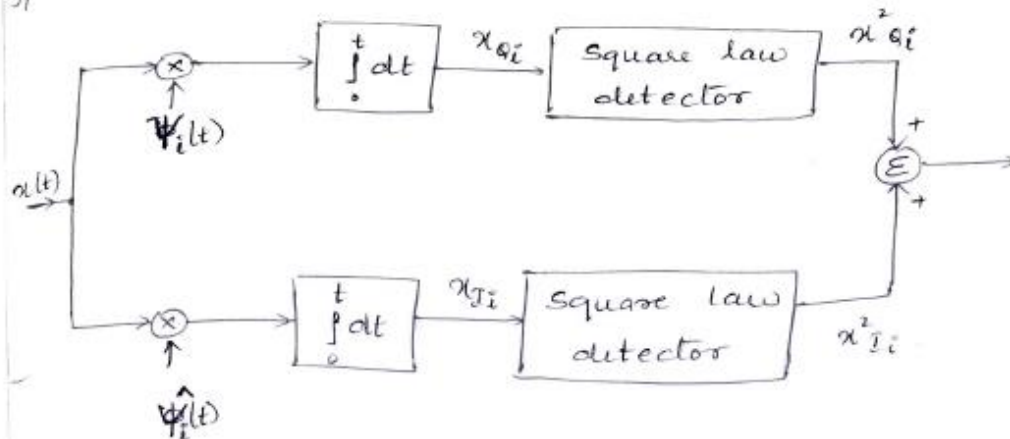


Fig 2: Quadrature receiver equivalent

→ Quadrature receiver equivalent of non-coherent FSK is shown in fig

→ Upper path is called in-phase path, the signal $x(t)$ is correlated with $\psi_i(t)$ representing a scaled version of the transmitted signal $s_1(t)$ & $s_2(t)$ with zero carrier phase.

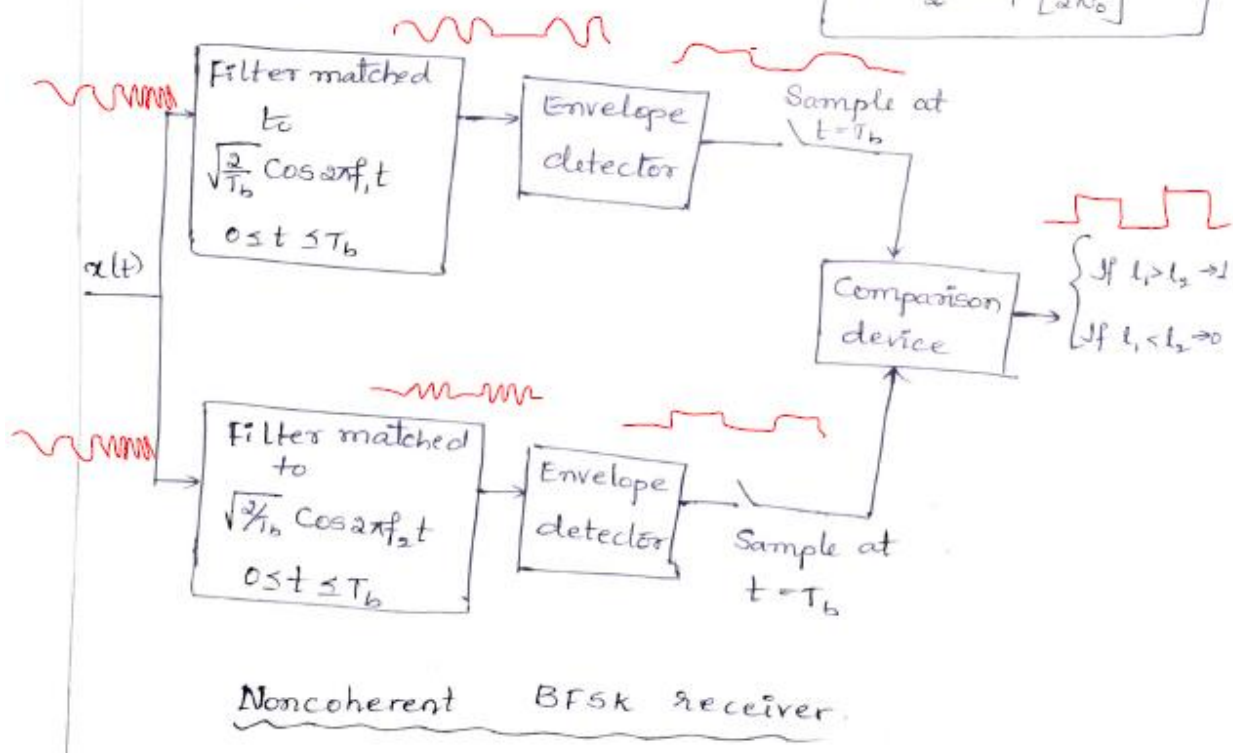
→ Lower path is called quadrature path, $x(t)$ is correlated with $\hat{\psi}_i(t)$ representing 90° phase shifted version of $\psi_i(t)$. $\therefore \psi_i(t)$ & $\hat{\psi}_i(t)$ are orthogonal to each other and thereby demodulate the received signal when passed through integrator and square law device.

Non coherent BFSK :-

→ In BFSK, the transmitted signal is defined by,

$$s_i(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}} \cos 2\pi f_i t & 0 \leq t \leq T_b \\ 0 & \text{elsewhere} \end{cases}$$

- Transmission of f_1 represents symbol '1'.
- Transmission of f_2 represents symbol '0'.
- Non-coherent detection of FSK includes two matched filters
- The filter in the upper path is matched to $\sqrt{\frac{2}{T_b}} \cos 2\pi f_1 t$ & the filter in the lower path is matched to $\sqrt{\frac{2}{T_b}} \cos 2\pi f_2 t$: $0 \leq t \leq T_b$.
- Matched filters o/p is envelope detected & sampled at rate T_b & this values are compared.
- If $l_1 > l_2$:- decision is in favor of symbol '1'
- If $l_1 < l_2$:- decision is in favor of symbol '0'
- The noncoherent BFSK is special case of noncoherent orthogonal modulation with $T = T_b$ & $E = E_b$
- ∴ The avg. probability of error, $P_e = \frac{1}{2} \exp\left[\frac{-E_b}{2N_0}\right]$



Differential phase shift Keying :-

DPSK is noncoherent version of PSK

The two basic operations at transmitter are,

- * Differential encoding of i/p binary wave
- * Phase shift keying.

To send symbol '0', a phase lead of 180° is added to signal waveform & for symbol '1' phase of waveform is unchanged.

- Receiver is equipped with storage capability, so that it can store relative phase difference b/w two successive bit intervals.
- Suppose the transmitted DPSK signal equals $\sqrt{\frac{E_b}{2T_b}} \cos 2\pi f_c t$ for $0 \leq t \leq T_b$.

Let $s_1(t)$ indicate symbol '1' transmission.

$$s_1(t) = \begin{cases} \sqrt{\frac{E_b}{2T_b}} \cos 2\pi f_c t & 0 \leq t \leq T_b \\ \sqrt{\frac{E_b}{2T_b}} \cos 2\pi f_c t & T_b \leq t \leq 2T_b \end{cases} \rightarrow (1)$$

Let $s_2(t)$ indicate symbol '0' transmission.

$$s_2(t) = \begin{cases} \sqrt{\frac{E_b}{2T_b}} \cos 2\pi f_c t & 0 \leq t \leq T_b \\ \sqrt{\frac{E_b}{2T_b}} \cos(2\pi f_c t + \pi) & T_b \leq t \leq 2T_b \end{cases} \rightarrow (2)$$

- From eqn (1) & (2), $s_1(t)$ & $s_2(t)$ are indeed orthogonal over two bit interval $0 \leq t \leq 2T_b$.

DPSK is special case of noncoherent orthogonal modulation with $T = 2T_b$ & $K = 2E_b$. The average probability

Illustration of DPSK

Consider the input binary sequence, denoted $\{b_k\}$, to be 10010011, which is used to derive the generation of a DPSK signal. The differentially encoded process starts with the reference bit 1. Let $\{d_k\}$ denote the differentially encoded sequence starting in this manner and $\{d_{k-1}\}$ denote its delayed version by one bit. The complement of the modulo-2 sum of $\{b_k\}$ and $\{d_{k-1}\}$ defines the desired $\{d_k\}$, as illustrated in the top three lines of Table 7.6. In the last line of this table, binary symbols 1 and 0 are represented by phase-shifts of 1 and π radians.

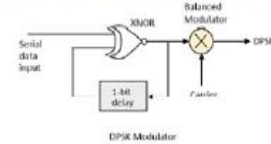


Table 7.6 Illustrating the generation of DPSK signal

$\{b_k\}$		1	0	0	1	0	0	1	1
$\{d_{k-1}\}$		1	1	0	1	1	0	1	1
Differentially encoded sequence $\{d_k\}$	reference	1	1	0	1	1	0	1	1
Transmitted phase (radians)		0	0	π	0	0	π	0	0